



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Group Art Unit: 2122

Kenneth J. Hines

Application No. 09/888,061

Filed: June 21, 2001

For: **EVOLUTION DIAGRAMS FOR DEBUGGING
DISTRIBUTED EMBEDDED SOFTWARE
APPLICATIONS**

Date: October 15, 2001

TRANSMITTAL LETTER

TO THE COMMISSIONER FOR PATENTS:

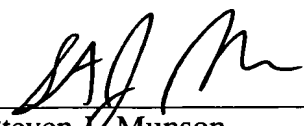
Enclosed for filing in the above-referenced application are the following:

- ☒ Notice to File Missing Parts (Part 2)
- ☒ Combined Declaration and Power of Attorney
- ☒ 50 substitute drawings
- ☒ Fee Transmittal
- ☒ Check for \$525.00
- ☒ Return receipt postcard

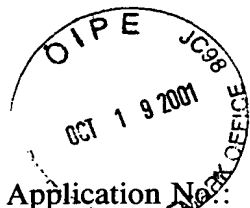
The Commissioner is hereby authorized to charge any additional fees which may be required in connection with filing of these papers, or credit overpayment, to Account No. 19-4455. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

Kenneth J. Hines

By 
Steven J. Munson
Registration No. 47,812

STOEL RIVES LLP
900 SW Fifth Avenue, Suite 2600
Portland, Oregon 97204-1268
Telephone: (503) 224-3380
Facsimile: (503) 220-2480
Attorney Docket No. 10488/10:1 USA



Turnbo

Sector #

Application No.: 09/888,061

Filed: June 21, 2001

Inventor: **Kenneth J. Hines**

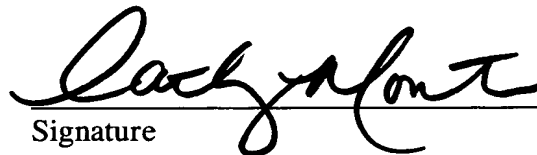
Title: **EVOLUTION DIAGRAMS FOR DEBUGGING
DISTRIBUTED EMBEDDED SOFTWARE APPLICATIONS**

Docket No.: 10488/10:1 USA

CERTIFICATE OF MAILING

Date of Deposit: October 15, 2001

I HEREBY CERTIFY THAT THIS CORRESPONDENCE IS
BEING DEPOSITED WITH THE UNITED STATES
POSTAL SERVICE AS FIRST CLASS MAIL IN
AN ENVELOPE ADDRESSED TO: COMMISSIONER
FOR PATENTS, WASHINGTON, D.C. 20231.


Signature

Cathy Montee

Typed or printed name of person signing
certificate

Attachments:

- ☒ Transmittal letter (in duplicate)
- ☒ Notice to File Missing Parts (Part 2)
- ☒ Combined Declaration and Power of Attorney
- ☒ 50 substitute drawings
- ☒ Fee Transmittal
- ☒ Check for \$525.00
- ☒ Return receipt postcard

CHN

PTO/SB/17 (09-00)

Approved for use through 10/31/2002. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT

(\$ 525.00)

Complete if Known

Application Number 09/888,061

Filing Date June 21, 2001

First Named Inventor Kenneth J. Hines

Examiner Name

Group Art Unit 2122

Attorney Docket No. 10488/10:1

METHOD OF PAYMENT

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit
Account
Number

19-4455

Deposit
Account
Name

Stoel Rives LLP

☒ Charge Any Additional Fee Required

Under 37 CFR 1.16 and 1.17

☒ Applicant claims small entity status.
See 37 CFR 1.27

2. ☒ Payment Enclosed:

☒ Check

☐ Credit card

☐ Money
Order

☐ Other

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Code	Large Entity Fee (\$)	Small Entity Code	Small Entity Fee (\$)
101	740	201	370
106	330	206	165
107	510	207	255
108	740	208	370
114	160	214	80

Fee Description

Fee Paid

Utility filing fee	370.00
Design filing fee	
Plant filing fee	
Reissue filing fee	
Provisional filing fee	

SUBTOTAL (1) (\$ 370.00)

2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid
30	-20** = 10	9	90.00
3	-3** = 0	42	0.00

Multiple Dependent

Large Entity Code	Large Entity Fee (\$)	Small Entity Code	Small Entity Fee (\$)
103	18	203	9
102	80	202	40
104	270	204	135
109	80	209	40
110	18	210	9

Fee Description

Claims in excess of 20	
Independent claims in excess of 3	
Multiple dependent claim, if not paid	
** Reissue independent claims over original patent	
** Reissue claims in excess of 20 and over original patent	

SUBTOTAL (2) (\$ 90.00)

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

Large Entity Code	Large Entity Fee (\$)	Small Entity Code	Small Entity Fee (\$)
105	130	205	65
127	50	227	25
139	130	139	130
147	2,520	147	2,520
112	920*	112	920*
113	1,840*	113	1,840*
115	110	215	55
116	390	216	195
117	890	217	445
118	1,390	218	695
128	1,890	228	945
119	310	219	155
120	310	220	155
121	270	221	135
138	1,510	138	1,510
140	110	240	55
141	1,240	241	620
142	1,240	242	620
143	440	243	220
144	600	244	300
122	130	122	130
123	50	123	50
126	240	126	240
581	40	581	40
146	710	246	355
149	710	249	355
179	710	279	355
169	900	169	900

Fee Description

Fee Paid

Surcharge - late filing fee or oath	65.00
Surcharge - late provisional filing fee or cover sheet	
Non-English specification	
For filing a request for <i>ex parte</i> reexamination	
Requesting publication of SIR prior to Examiner action	
Requesting publication of SIR after Examiner action	
Extension for reply within first month	
Extension for reply within second month	
Extension for reply within third month	
Extension for reply within fourth month	
Extension for reply within fifth month	
Notice of Appeal	
Filing a brief in support of an appeal	
Request for oral hearing	
Petition to institute a public use proceeding	
Petition to revive - unavoidable	
Petition to revive - unintentional	
Utility issue fee (or reissue)	
Design issue fee	
Plant issue fee	
Petitions to the Commissioner	
Petitions related to provisional applications	
Submission of Information Disclosure Stmt	
Recording each patent assignment per property (times number of properties)	
Filing a submission after final rejection (37 CFR § 1.129(a))	
For each additional invention to be examined (37 CFR § 1.129(b))	
Request for Continued Examination (RCE)	
Request for expedited examination of a design application	

Other fee (specify)

* Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ 65.00)

SUBMITTED BY

Name (Print/Type) Steven J. Munson

Registration No. (Attorney/Agent)

47,812

Complete (if applicable)

Telephone

(503) 224-3380

Signature

Date

October 15, 2001

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/888,061	06/21/2001	Kenneth J. Hines	10488/10:1

CONFIRMATION NO. 6979

FORMALITIES LETTER



OC000000006430910

3528
STOEL RIVES LLP
900 SW FIFTH AVENUE
SUITE 2600
PORTLAND, OR 97204

Date Mailed: 08/15/2001



NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$180.
 - \$180 for 10 total claims over 20.
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 1020.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

A copy of this notice **MUST** be returned with the reply.

00000015 0988061
10/23/2001 MBERHE
01 FC:201
02 FC:205
03 FC:203
370.00 OP
65.00 OP
90.00 OP

MD

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

09888061-101901